The 4th Annual National Congress on Health Care Compliance

April 22-24, 2001
Grand Hyatt Hotel
Washington, DC

www.hcca-info.org

Special Preconference Event— April 22, 2001: Technology Tools for Compliance Programs

Take advantage of this opportunity to acquire a wealth of information about technology based products for compliance programs. The technology sessions will feature more than 20 companies with tools you can use to support your compliance program.
The Fourth Annual National Congress on Health Care Compliance continues the national dialogue on the ongoing governmental and private sector enforcement initiatives sweeping health care finance and delivery. The nation’s leading health care regulators and prosecutors from the Department of Health and Human Services, the Federal Bureau of Investigation, the Health Care Financing Administration, the Federal Trade Commission, the Securities and Exchange Commission, various United States Attorneys General’s Offices and the Department of Justice will describe in detail current and future health care enforcement initiatives. Leading compliance professionals, including attorneys, consultants and compliance officers, will articulate responsive strategies and share benchmarks for best practices compliance programs.

Over the past year, the pace of health care enforcement initiatives and responsive compliance efforts has continued to increase. A number of prominent qui tam cases have been unsealed. Numerous large health care enterprises, both investor-owned and nonprofit, have entered into substantial monetary settlements and executed corporate integrity agreements. The Fourth Annual Congress will report on all the important governmental and private sector cooperative and confrontational issues.

During the new millennium, the issues facing the health care industry will be more challenging than ever. The ability to forecast industry changes is difficult. The Third Annual National Congress on Health Care Compliance is being planned to help you forecast, change and understand the answer to the question, “What is the future of the health care industry?”

The Congress will bring together governmental and industry experts to collectively tackle the issues that face the health care industry, and to help arm yourself and your organization to meet these challenges. You will find these answers through peer discussion, informative symposia, and the best compliance networking environment.

Goals and Objectives

- To Provide an Overview of the Various Federal and State Laws and Regulations Applicable to Health Care Finance and Delivery in the United States
- To Analyze the Bench Mark Approaches to Compliance Strategies in Health Care
- To Investigate the Preeminent Technology and IS Solution for Health Care Compliance
- To Identify Strategies for Cooperation and Coordination among Compliance Officers, Ethics Officers, Privacy Officers, Security Officers and Legal Counsel
- To Share through Case Studies the Experiences of Successful Health Care Compliance Programs

Don’t miss these upcoming events in 2001!

HIPAA Summit West
June 20-23, 2001
Hyatt Regency Embarcadero Center
San Francisco, CA
www.HIPAASummit.com

Fraud & Abuse/Compliance
September 30 - October 2, 2001
Sponsored by the American Health Lawyers Association
and the Health Care Compliance Association
Hilton Hotel, Washington, DC
For More Information Call 888-580-8373
Monday, April 23, 2001

8:00 a.m. Welcome and Introduction
Greg Warner, Director for Compliance, Mayo Foundation and President, Health Care Compliance Association, Rochester, MN

8:15 a.m. Overview of the Administration’s Positions on Health Care Compliance
The Honorable Thomas Thompson (Invited), Secretary of Health and Human Services, Washington, DC

8:30 a.m. Office of Inspector General Enforcement Initiatives

9:00 a.m. Health Care Fraud Investigations Update
Joseph Ways, Chief, Health Care Fraud Unit, Federal Bureau of Investigation, Washington, DC

9:30 a.m. Health Care Enforcement Update
James Sheehan, Esq., Assistant U.S Attorney, and Chief, Civil Division, Eastern District of Pennsylvania, Philadelphia, PA

10:00 a.m. Questions and Comments

10:30 a.m. BREAK

11:00 a.m. CONCURRENT SESSIONS 1

101 Compliance Effectiveness: Benchmarking Effectiveness
Marti Arvin, JD, Compliance Officer, Indiana University School of Medicine, Indianapolis, IN
Antoinette Frink, Corporate Responsibility Officer, St. John Health System, Detroit, MI

102 CIA/Qui Tam: Life, The Universe & Everything
Jan Synchych, Dorsey & Whitley, Minneapolis, MN
Ted Acosta, Ernst & Young, Washington, DC

103 Quality of Care Compliance: Physician Issues
Rory Jaffe, MD, MBA, CCO, UC Davis Health System, Assoc. Medical Director Professional Billing Compliance, UC Davis Medical Group, Assoc. Professor, Anesthesiology and Pain Medicine, UC Davis School of Medicine, UC Davis Health System, Sacramento, CA

104 HIPAA Compliance: Complying with the HIPAA Privacy Regulation
Alan Goldberg, Esq., Partner, Goulston & Storrs, Boston, MA

105 Other Regulations: Provider-Based Regulations
James Dechene, Sidley & Austin, Chicago, IL
Diane Lee, Esq., Davis Wright Tremaine, San Francisco, CA

II. Technology Tools for Compliance Programs
This exciting pre-conference program will bring together vendors of technology tools for compliance programs and compliance professionals. Below is a list of some of companies that presented at the 2000 Congress:

- Compliance Concepts
- Deloitte & Touche
- Ernst & Young
- MC Strategies
- MedSafe Inc.
- Meditract
- Health Care Compliance Strategies, Inc.
- Health Management Advisors
- Healthworks Alliance
- National Hotline Services
- PricewaterhouseCoopers
- QuadraMed
- Strategic Management System
- 3M Health Information Systems

II. Compliance 202
Brent Saunders, JD, MBA, Director, PricewaterhouseCoopers, and Past President, HCCA, Washington, DC
Sheryl Vacca, Director, West Coast Compliance Practice, Deloitte & Touche, Sacramento, CA
106 Hot Issues in the Industry: Hot Issues in the Nursing Home Industry
Chauncey J. Hunker, PhD, Vice President, Continuous Quality Improvement, Sundance Rehabilitation Corporation, Madison, WI

107 Miscellaneous: Enforcement Trends and Their Implication on Compliance Research
Eileen Boyd, Managing Director, Forensic & Litigation Services, KPMG, Washington, DC

NOON LUNCHEON AND PRESENTATIONS

12:30 p.m. Compliance With the Federal Sentencing Guidelines
Judge Diane Murphy, Chair, Federal Sentencing Commission, Washington, DC

1:00 p.m. Antitrust Compliance Update
Richard Feinstein, Esq., Assistant Director, Bureau of Competition Healthcare Division, Federal Trade Commission, Washington, DC

1:30 p.m. The Role of the Compliance Officer in Enhancing Health Care Quality and Reducing Medical Errors
Janet Corrigan, Director, Institute of Medicine, Washington, DC
Andy Penn, Esq., Office of Inspector General, Department of Health & Human Services, Washington, DC

2:30 p.m. TRANSITION BREAK

2:45 p.m. CONCURRENT SESSIONS 2

201 Compliance Effectiveness: Evaluating the Effectiveness of Your Compliance Program
David Orbach, Allina Health System, Minneapolis, MN
Bill Altman, JD, MA, Vice President of Compliance, Vencor, Inc., Louisville, KY

202 Corporate Integrity Agreements/Qui Tam: CIA Case Study
Chris Ideker, Ernst & Young, Atlanta, GA
Howard Young, Office of the Inspector General, U.S. Department of Health and Human Services, Washington, DC
Todd Kerr, CCO, Life Point Hospital, Nashville, TN

203 Quality of Care in Compliance: Quality of Care in Nursing Homes
Jody Noon, Deloitte & Touche, Portland, OR

204 HIPAA Compliance: Complying With the HIPAA Security Regulation
Richard Marks, Esq., Partner, Davis Wright Tremaine, Washington, DC

205 Other Regulations: EMTALA: What Compliance Officers Need to Know
Mary Lou King, Esq., Partner, McDermott Will & Emery, Washington, DC
Hemi Pewarson, Esq., Associate, McDermott, Will & Emery, Washington, DC

206 Hot Issues in the Industry: Hot Issues in Managed Care
Steve Tucker, Director of Regulatory Affairs, PacifiCare, Santa Ana, CA

207 Miscellaneous: Operational Issues in Compliance
Al Josephs, Director of Corporate Compliance, Hillcrest Health System, Waco, TX
Kristen Jenkins, District Compliance Officer, JPS Health Network, Fort Worth, TX

3:45 p.m. TRANSITION BREAK

4:00 p.m. CONCURRENT SESSIONS 3

301 Compliance Effectiveness: Effectiveness in Managed Care
Vickie McCormick, JD, Corporate Integrity Officer, United Health Group, Minnetonka, MN
Mamie Segall, Esq., Vice President, Medica Health Plans, Minneapolis, MN

302 Corporate Integrity Agreements/Qui Tam: CIA Case Study
Joe Spinelli, Principal, KPMG, New York, NY

303 Quality of Care in Compliance: Quality of Care Issues in a Group Practice
Sarah Grim, CEO, Missouri Patient Care Review Foundation, Jefferson City, MO
S. Gordon Jones, Jr., MD, Missouri Patient Care Review Foundation, Jefferson City, MO
James R. Green, MD, JD, Missouri Patient Care Review Foundation, Jefferson City, MO

304 HIPAA Compliance: Coordination Among Compliance Officers, Ethics Officers, Privacy Officers, Security Officers and Legal Counsel
John Myri, Privacy Officer, Memorial Hospital
Cindy Nickles, Director, Health Information Management Services, HCA, Nashville, TN

305 Other Regulations: E & M Regulation Changes: Are You Ready?
Vickie Balistreri, CPC, Senior Consultant, Barid, Kurtz & Dobson, Kansas City, MO
L. Stephan Vincze, JD, LLM, President & CEO, Vincze & Frazer, LLC & Vincze Compliance International, LLC, Montgomery, AL

306 Hot Issues in the Industry: Hot Issues in Home Health and the Medical Device Industry
Jim Pyles, Esq., Partner, Powers Pyles Sutter & Verville, Washington, DC
Jack Pivar, Esq., Vice President of Compliance & Government Relations for Asst. Technology Group, Inc., Naperville, IL
5:00 p.m.  TRANSITION BREAK

5:15 p.m. Perspectives on Health Care Fraud
Professor Malcolm K. Sparrow, PhD, Lecturer in Public Policy, John F. Kennedy School of Government, Harvard University, Cambridge, MA

6:15 p.m. NETWORKING RECEPTION

Tuesday, April 24, 2001

8:00 a.m. Regulatory Update for Health Care Public Companies
Paul Berger, Esq., Assistant Director, Enforcement Division, Securities and Exchange Commission, Washington, DC

8:30 a.m. Regulatory Update for Health Care Tax Exempt Organizations
Marvin Friedlander, Esq., Chief Technical Branch 1, Exempt Organizations Division, Internal Revenue Service, Washington, DC

9:00 a.m. Stark II Regulation Compliance
JoAnne E. Sensheimer, Coordinating Stark II Regulations, Health Care Financing Administration, Baltimore, MD

9:30 a.m. Private Sector Anti-fraud Initiatives
William J. Mahon, Executive Director, National Healthcare Anti-Fraud Association, Washington, DC

10:00 a.m. Healthcare Privacy, Data Security and HIPAA Compliance
Donna Eden, Esq. (Invited) Senior Attorney, Office of the General Counsel, Department of Health and Human Services, Baltimore, MD

10:30 a.m. TRANSITION BREAK

11:00 a.m. CONCURRENT SESSIONS 4

401 Compliance Effectiveness:
Lori Pelliccioni, JD, MPH, Director, PricewaterhouseCoopers, Los Angeles, CA
Michael C. Hemsley, VP, Corporate Compliance & Legal Services, Catholic Health East, Newtown Square, PA

402 Corporate Integrity Agreements/Qui Tam: Story of a Whistleblower
Mark Allen Keimann, Esq., Law Offices of Mark Allen Keimann, Los Angeles, CA
Stefanie Flicher, Marina Del Rey, CA

12:30 p.m. Compliance Issues Raised by the Human Genome Project
Barbara P. Fuller, JD, RHIA, Senior Policy Advisor, National Human Genome Research Institute, National Institutes of Health, Bethesda, MD

1:00 p.m. Annual Compliance Officer Roundtable
Anthony Boswell, Chief Compliance Officer, Laidlaw, Inc., Dallas, TX
Susie Draper, Compliance Administrator, Intermountain Health Care, Salt Lake City, UT
Kristen Jenkins, District Compliance Officer, JPS Network, Fort Worth, TX
Alan Yuspeh, JD, MBA, Senior Vice President, Ethics, Compliance and Corporate Responsibility, HCA
Robert Freeman, Assoc. General Counsel, Blue Cross Blue Shield of MA, Boston, MA
Roy Snell, CEO/Executive Director, Health Care Compliance Association, Plymouth, MN (Moderator)

2:00 p.m. Qui Tam Panel
Robert Homchick, Esq, Partner, Davis Wright Tremaine, Seattle, WA
Mark Allen Keimann, Esq., Law Offices of Mark Allen Keimann, Los Angeles, CA
Steve Meagher, Esq, Partner, Phillip & Cohen
Dan Roach, Vice President/Corporate Compliance Officer, Catholic Healthcare West, San Francisco, CA

3:00 p.m. TRANSITION BREAK
FOURTH ANNUAL NATIONAL CONGRESS ON

3:15 p.m.  CONCURRENT SESSIONS 5

501  Compliance Effectiveness: How Buy-in Generates Effectiveness — Keep Your Wealth
Lori-Ann Rickard, Esq., Law Offices of Lori-Ann Rickard, PC, Grosse Pointe Falls, MI

502  Corporate Integrity Agreements/ Qui Tam: Kansas City Case Study
Tanya Treadway, Esq., (Invited), Asst. US Attorney, Department of Justice, Topeka, KS

503  HIPAA Compliance: Compliance Strategies for Health Plans
Bruce Merlin Fried, Esq., Partner, Shaw Pittman, and Former Director, Center for Health Plans and Providers, HCFA, Washington, DC
Jeffrey Fusile, National Director, Regulatory Compliance, PricewaterhouseCoopers, Atlanta, GA

Continuing Education Credits

This activity has been planned and implemented in accordance with the Essential Areas and Policies of the Accreditation Council for Continuing Medical Education through the joint sponsorship of Medical Education Collaborative and Health Care Conference Administrators, LLC. Medical Education Collaborative (MEC), a nonprofit education organization, is accredited by the ACCME to provide continuing medical education for physicians and takes responsibility for the content, quality and scientific integrity of this CME activity.

Medical Education Collaborative designates this educational activity for a maximum of 19 hours in category 1 credit toward the AMA Physician's Recognition Award. Each physician should claim only those hours of credit that he/she actually spent in the educational activity.

Medical Education Collaborative, Inc. is approved by the American Council on Pharmaceutical Education as a provider of continuing pharmaceutical education. Medical Education Collaborative, Inc. has assigned 19 contact hours/1.9 CEUs of continuing pharmaceutical education credit. ACPE provider number: 015-999-005-L03
Participants will be required to sign in daily and complete an evaluation form for credit. Registration fee includes certificate, which will be mailed within six weeks after the meeting.

CNA (Nursing Credit) - This educational activity for 22.5 contact hours is provided by Medical Education Collaborative. Medical Education Collaborative is approved as a provider of continuing education in nursing by the Colorado Nurses Association, which is accredited as an approver of continuing education in nursing by the American Nurses Credentialing Center's Commission on Accreditation. California BRN Provider Number: CEP-12990
Florida BN Provider Number: FBN-2773

AHIMA - This program is pending approval of 19 CE credits for use in fulfilling the Continuing Education requirements of the American Health Information Management Association (AHIMA).

504  Other Regulations — STARK II Panel: The New Regulations
Mark Fitzgerald, Esq., Attorney at Law, Gardner Carton & Douglas, Washington, DC
Hollis Fleischer, Esq., Assistant US Attorney of Maryland, Health Care Fraud Coordinator, Baltimore, MD
Tom Taylor, Esq., Garthnathl Luther Medical Center

505  Hot Issues in the Industry: Hot Issues in Physician Group Practice
Michael Scarano, Esq., Partner and Co-Chair, Compliance Practice Group, Foley & Lardner, San Diego, CA
Roco DeGrasse, Esq., Foley & Lardner, Chicago, IL

506  Miscellaneous: Panel on Internal Investigations
Julia Melendez, Marriott Senior Care, Washington, DC
Nicholas C. Harbist, Esq., Blank Rome Comiskey & McCauley, Philadelphia, PA
Lee Dopkin, University of Pennsylvania, Philadelphia, PA

4:15 p.m.  CONFERENCE ADJOURNS

FAX REGISTRATION
Phone: 800-546-3750 or 215-545-3894  Monday-Friday, 9 AM - 5 PM EST.
Fax: 215-545-8107
Telephone registrations must be confirmed by fax or e-mail.

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Groups: Have registration and credit card information for each person. List all group members on FAX cover sheet.

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FOR FURTHER INFORMATION
Call 1-800-546-3750 or visit our website at www.hcca-info.org.
HOTEL ACCOMMODATIONS
Special rates of $213 (plus tax) per single per night, and $238 (plus tax) per double per night, have been arranged for the Congress on Health Care Compliance. There are a limited number of rooms available at the special rate. Please make your reservations directly with the Grand Hyatt Hotel and mention the Congress to receive the reduced rate. Reservations will be accepted until March 30, 2001. After that cut-off date, reservations will be accepted on a space-available basis only. Grand Hyatt Hotel, 1000 H Street NW, Washington, DC 20001 Reservations: 202-582-1234

AIRLINE TRAVEL
Get there for less! The Congress has selected Stellar Access, Inc. (SAI; formerly Conventions in America) as the official travel agency for this meeting.

Call 1-800-929-4242 and ask for Group #551 to receive the following discounts or the lowest available fares on any other carrier:
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Preconference
- Preconference 1: Tech Tools
- Preconference 2: Compliance 202

Concurrent Sessions
Check one for each time slot:

Mon. 11:00 - 12:00
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